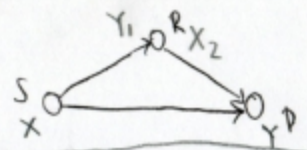
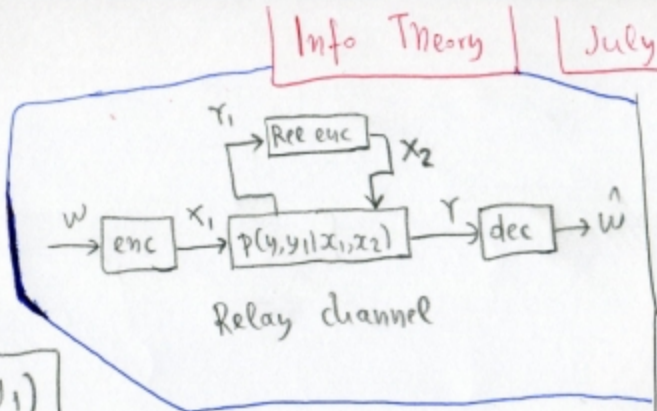


Relay Channel.



$$(X_1, X_2, p(y, y_1 | x_1, x_2), y \times y_1)$$



Degraded Gaussian channel.

Optim de source.

$$C^* = \max_{0 \leq \alpha \leq 1} \min \left\{ C \left(\frac{P_1 + P_2 + 2\sqrt{\alpha} P_1 P_2}{N_1 + N_2} \right), C \left(\frac{\alpha P_1}{N_1} \right) \right\}$$

Relay, using Y_1 , recovers X_1 perfectly, and then x_2 and x_1 cooperate coherently in the next block to resolve the remaining ambiguity of X_1 in Y . Also, fresh X_1 info is sent. And this iterates...

(M, n) code for relay:

$$\mathcal{M} = \{1, 2, \dots, M\}$$

enc: $\mathcal{X}_1: \mathcal{M} \rightarrow \mathcal{X}_1^m$

a set of relay functions $\{f_i\}_{i=1}^m$ such that

function $x_{2i} = f_i(Y_{1,1}, Y_{1,2}, \dots, Y_{1,i-1})$, $1 \leq i \leq m$. (non-anticipatory relay nature)

dec: $g: \mathcal{Y}^n \rightarrow \mathcal{M}$.

$$p(w, x_1, x_2, y, y_1) = p(w) \prod_{i=1}^m p(x_{1i} | w) p(x_{2i} | y_{1,1}, y_{1,2}, \dots, y_{1,i-1}) p(y_i, y_{1i} | x_{1i}, x_{2i}) \quad (5)$$

$\lambda(w) = \Pr \{g(Y) \neq w | W=w\}$ conditional probability of error.

$$P_n(e) = \frac{1}{M} \sum_w \lambda(w)$$

$$\lambda_n = \max_w \lambda(w)$$

$$R = \frac{1}{n} \log M \text{ bits/transmission}$$

Degraded relay channel

$$p(y_1, y_2 | x_1, x_2) = p(y_1 | x_1, x_2) \cdot p(y_2 | y_1, x_2)$$

$$X_1 \rightarrow (X_2, Y_1) \rightarrow Y$$

Theorem 1: Capacity C of the degraded relay channel is

$$C = \sup_{p(x_1, x_2)} \min \{ I(X_1, X_2; Y), I(X_1; Y_1 | X_2) \}$$

Comments: rate $I(X_1, X_2; Y)$ can be achieved for any $p(x_1, x_2)$. However, this can be done only if the relay can perfectly reconstruct X_1 , i.e. $R < I(X_1; Y_1 | X_2)$.

We shall achieve capacity using a different encoding scheme at the relay.

Achievability of C .

- Sequence of B blocks, n symbols each
- " of $B-1$ messages $w_i \in [1, 2^{nR}]$, $i=0, \dots, B-1$, will be sent over nB transmissions. (as $B \rightarrow \infty$, for fixed n , rate $\frac{R(B-1)}{B} \rightarrow R$).

For each n -block $b=1, \dots, B$, we use the same doubly index set of codewords.

$$\mathcal{C} = \{ \underline{x}_1(w_b | s_b), \underline{x}_2(s_b) \}, \quad w_b \in [1, 2^{nR}], \quad s_b \in [1, 2^{nR_0}]$$

$$\underline{x}_1(\cdot | \cdot) \in \mathcal{X}_1^n, \quad \underline{x}_2(\cdot) \in \mathcal{X}_2^n.$$

We shall need the partition

$$\mathcal{S} = \{ S_1, \dots, S_{2^{nR_0}} \} \text{ of } \mathcal{M} = \{ 1, \dots, 2^{nR_0} \}$$

into 2^{nR_0} cells, $S_i \cap S_j = \emptyset$, $\cup S_i = \mathcal{M}$.

- The partition \mathcal{S} will allow us to send information to the receiver using Slepian-Wolf.

- The choice of \mathcal{C} and \mathcal{S} will be random.

Distribution of $\underline{x}_1(w_b | s_b)$ and $\underline{x}_2(s_b)$.

- Generate 2^{nR_0} iid $\sim p(x)$ \underline{x}_2 codewords with

$$p(\underline{x}_2) = \prod_{i=1}^n p(x_{2i})$$

- For each $\underline{x}_2^{(s)}$ generate 2^{nR} conditionally independent

$$\underline{x}_1(w | s), \quad p(\underline{x}_1(w | s)) = \prod_{i=1}^n p(x_{1i} | x_{2i}(s))$$

- Each $w \in [1, 2^{nR}]$ is assigned randomly and uniformly to a bit from $1, \dots, 2^{nR_0}$.

Assume a fixed code. $\{S_i\}$ is the bin of $w_{i-1}, \forall i$.

We pick up the story at ^{end of} block $i-1$. We assume that

- Rx y knows w_{i-2} and S_{i-1} . (previous message and his bin)

- Relay y_1 knows w_{i-1} . (current message)

With a good code, at end of block i .

- Rx will know (w_{i-1}, S_i)

- Relay will know w_i .

Thus, the info state (w_{i-1}, S_i) at Rx and w_i at the relay propagate forward.

Transmission in block i : $x_1(w_i | S_i), x_2(S_i)$

Received signals in block i : $y_1(i), y(i)$.

Computation at end of block i .

① Relay: Using $y_1(i)$ it computes w_i . How?

Relay knows w_{i-1} and thus S_i and $x_2(S_i)$.

$x_1(w_i | S_i)$ is constructed by $x_2(S_i)$.

Relay searches for $w: (x_1(w | S_i), x_2(S_i), y_1) \in A_{\epsilon}^{(n)}$.

Remember degraded broadcast $(x(i), u(j), y_1)$.

If $R < I(x_2; y | x_2)$, then \exists one w such that $(x_1(w | S_{i-1}), x_2(i-1), y_1) \in A_{\epsilon}^{(n)}$ and this is $w = w_{i-1}$.

② (a) The receiver declares $S_{i-1} = s$ if there is only one s such that $(x_2(s), y(i-1)) \in A_{\epsilon}^{(n)}$. If $R_0 < I(x_2; y)$ decoding of S_{i-1} can be done with arbitrary accuracy.

(b) The receiver calculates the ambiguity set $d(y(i-1))$ (previous ~~block~~ ~~block~~)

$$d(y(i-1)) = \{w \in \mathcal{W} : (x_2(w | S_{i-1}), x_2(S_{i-1}), y(i-1)) \in A_{\epsilon}^{(n)}\}$$

③ The receiver intersects $d(y(i-1))$ and bin S_i .

If

$$R < I(x_1; y | x_2) + R_0$$

then, with high probability, then $d(y(i-1)) \cap S_i$ will contain one $x(\hat{w} | S_i)$ and $\hat{w} = w_{i-1}$.

Combining $R_0 < I(x_2; y)$ and $R < I(x_1; y | x_2) + R_0$, we get

$$R < I(x_1, x_2; y)$$

Calculation of Probability of error.

We declare an error in block i if one or more of the following events occurs

$$E_{0i} : (\underline{x}_1(w_i | s_i), \underline{x}_2(s_i), \underline{y}_1(i), \underline{y}_2(i)) \notin A_{\epsilon}^{(n)}$$

$$E_{1i} : \text{in decoding step 1: } \exists \tilde{w} \neq w_i : (\underline{x}_1(\tilde{w} | s_i), \underline{x}_2(s_i), \underline{y}_1(i)) \in A_{\epsilon}^{(n)}$$

$$E_{2i} : \text{in decoding step 2: } \exists \tilde{s} \neq s_i : (\underline{x}_2(\tilde{s}), \underline{y}_2(i)) \in A_{\epsilon}^{(n)}$$

$$E_{3i} : \text{decoding step 3 fails: Let } E_{3i} = E_{3i}' \cup E_{3i}'', \text{ where}$$

$$E_{3i}' : w_{i-1} \notin S_{s_i} \cap \mathcal{L}(\underline{y}(i-1))$$

$$E_{3i}'' : \exists \tilde{w} \neq w_{i-1} : \tilde{w} \in S_{s_i} \cap \mathcal{L}(\underline{y}(i-1))$$

$$\text{Let } F_i = \{ \hat{w}_i \neq w_i \text{ or } \hat{w}_{i-1} \neq w_{i-1} \text{ or } \hat{s}_i \neq s_i \} = \bigcup_{k=0}^3 E_{ki}.$$

It can be shown that

$$P(E_{0i} | F_{i-1}^c) \leq \frac{\epsilon}{4B}$$

$$P(E_{1i} \cap E_{0i}^c | F_{i-1}^c) \leq \frac{\epsilon}{4B}$$

$$P(E_{2i} \cap E_{0i}^c | F_{i-1}^c) \leq \frac{\epsilon}{4B}$$

Lemma: If $R < I(X_1; Y | X_2) + R_0 - \delta$, then for sufficiently large n

$$P(E_{3i} \cap E_{2i}^c \cap E_{0i}^c | F_{i-1}^c) \leq \frac{\epsilon}{4B}$$

Proof: First, we bound $E\{\|\mathcal{L}(\underline{Y}(i-1))\| | F_{i-1}^c\}$, $\|\mathcal{L}\|$: cardinality of \mathcal{L} .

$$\text{Let } \psi(w | \underline{y}(i-1)) = \begin{cases} 1 & (\underline{x}_1(w | s_{i-1}), \underline{x}_2(s_{i-1}), \underline{y}(i-1)) \in A_{\epsilon} \\ 0 & \text{otherwise} \end{cases}$$

$$\|\mathcal{L}(\underline{y}(i-1))\| = \sum_w \psi(w | \underline{y}(i-1))$$

and

$$E\{\|\mathcal{L}(\underline{y}(i-1))\| | F_{i-1}^c\} = E\{\psi(w_{i-1} | \underline{y}(i-1)) | F_{i-1}^c\} +$$

$$+ \sum_{w \neq w_{i-1}} E\{\psi(w | \underline{y}(i-1)) | F_{i-1}^c\}$$

$$\text{For } w \neq w_{i-1}: E\{\psi(w | \underline{y}(i-1)) | F_{i-1}^c\} \leq 2^{-n I(X_1; Y | X_2)}$$

$$\text{Therefore: } E\{\|\mathcal{L}(\underline{y}(i-1))\| | F_{i-1}^c\} \leq 1 + (2^{nR} - 1) 2^{-n I(X_1; Y | X_2)} \leq 1 + 2^{n(R - I(X_1; Y | X_2))}$$

$$- F_{i-1}^c \Rightarrow w_{i-1} \in \mathcal{L}(\underline{y}(i-1)).$$

$$E_{2i}^c \Rightarrow \hat{s}_i = s_i \Rightarrow w_{i-1} \in S_{s_i}. \text{ Thus}$$

$$P(E_{3i}' \cap E_{2i}^c \cap E_{0i}^c | F_{i-1}^c) = 0.$$

Thus

$$P(\mathcal{E}_{3i} \cap \mathcal{E}_{2i}^c \cap \mathcal{E}_{0i}^c \mid \mathcal{F}_{i-1}) = P(\mathcal{E}_{3i}'' \cap \mathcal{E}_{2i}^c \cap \mathcal{E}_{0i}^c \mid \mathcal{F}_{i-1})$$

$$\leq P\{\exists w \neq W_{i-1} \text{ such that}$$

$$w \in d(\underline{y}^{(i-1)}) \cap \mathcal{S}_{S_i} \mid \mathcal{F}_{i-1}\}$$

$$\leq E\{\|d(\underline{y}^{(i-1)})\| 2^{-nR_0} \mid \mathcal{F}_{i-1}\}$$

$$\leq 2^{-nR_0} (1 + 2^n (R - I(X_1; Y | X_2)))$$

If $R_0 > R - I(X_1; Y | X_2)$, then

$$P(\mathcal{E}_{3i} \cap \mathcal{E}_{2i}^c \cap \mathcal{E}_{0i}^c \mid \mathcal{F}_{i-1}) \leq \frac{\epsilon}{4B}$$

We also have that $R_0 < I(X_2; Y)$. Thus, for

$$R < I(X_1; Y | X_2) + I(X_2; Y) = I(X_1, X_2; Y)$$

A few words about codebooks in degraded Gaussian relays.

$$\tilde{x}_1(w) \text{ iid } \sim N_n(0, \alpha P_1 I_n), w \in [1, 2^{nR}]$$

$$\underline{x}_2(s) \text{ iid } \sim N_n(0, P_2 I_n), s \in [1, 2^{nR}].$$

$$\underline{x}_1(w|s) = \tilde{x}_1(w) + \sqrt{\frac{\alpha P_1}{P_2}} \underline{x}_2(s)$$

$$\underline{x}_2(s)$$

And, on encoder block, a source can relay send

coherently $\underline{x}_2(s)$ and $* \underline{x}_1(s)$.

The source also sends new information $\tilde{x}_1(w)$
independent of \underline{x}_2